

# Financial Services Regulatory Forum Agenda

Wednesday, December 4, 2024 | 8:30 a.m.–1:30 p.m. ET | WilmerHale New York City Office  
*Agenda is subject to change.*

8:30 – 9:00 a.m.

**Coffee/Welcome**

9:00 – 10:00 a.m.

**Plenary Session I: The State of Financial Services Regulation After the 2024 Election**

- **Stephanie Avakian (Moderator)**, *Partner and Chair, Securities and Financial Services Department, WilmerHale*
- **Kenneth Bentsen**, *President and CEO, SIFMA*
- **Dan Gallagher**, *Chief Legal, Compliance and Corporate Affairs Officer, Robinhood*

10:00 – 10:50 a.m.

**Plenary Session II: Recent SCOTUS and Appellate Court Rulings and Future Implications**

- **Elizabeth L. Mitchell (Moderator)**, *Partner, WilmerHale*
- **Andrew Rhys Davies**, *Partner, WilmerHale*
- **Kelly P. Dunbar**, *Partner, WilmerHale*
- **Noah A. Levine**, *Partner, WilmerHale*

10:50 – 11:05 a.m.

**Coffee Break**

**Session 1: Bank Regulation in 2025: Expectations and Predictions for the Financial Services Industry**

- **Yoon Hi Greene (Moderator)**, *Partner, WilmerHale*
- **Jillian Eng**, *Managing Director and General Counsel, Bank Regulatory Legal, JPMorganChase*
- **Jonathan Fink**, *Associate Chief Counsel, OCC*
- **Ursula Pfeil**, *Deputy General Counsel, Regulatory Affairs, PNC*

**Session 2: From Election to Inauguration: Hot Topics and Predictions in Futures and Derivatives Regulation**

- **Matthew B. Kulkin (Moderator)**, *Partner and Chair, Futures and Derivatives Practice, WilmerHale*
- **Steven Berg**, *Executive Director and Associate General Counsel, SMBC*
- **Irene Moyseyenko**, *Senior Regulatory Counsel, Bloomberg*
- **Christine Parker**, *Deputy General Counsel, Circle*
- **Carol Wooding**, *Senior Vice President, General Counsel and Secretary, NFA*

11:05 – 11:55 a.m.

**Session 3: SEC and FINRA Expectations in an Evolving AI and Technology Landscape: How to Navigate the Good, the Bad and the Unpredictable in 2025**

- **Stephanie Nicolas (Moderator)**, *Partner, WilmerHale*
- **Mahlet Ayalew**, *Executive Director and Assistant General Counsel, JPMorganChase*
- **Bruce H. Newman**, *Partner, WilmerHale*
- **Melissa MacGregor**, *Deputy General Counsel and Corporate Secretary, SIFMA*
- **John Roeser**, *Managing Director and Chief Counsel of the Trading, Markets and Operations Legal Group, Charles Schwab*

**Session 4: How Far Is Too Far? Key Trends in Regulation and Enforcement in the Investment Management Space**

- **Lori A. Martin (Moderator)**, *Partner, WilmerHale*
- **Amy R. Doberman**, *Partner, WilmerHale*
- **Michelle Rhee**, *Deputy General Counsel, Wells Fargo*
- **Tracy Soehle**, *Associate General Counsel, Investment Adviser Association*

11:55 a.m. – 12:10 p.m.

**Break**

12:10 – 1:00 p.m.

**Lunch/Fireside Chat: Previewing the Incoming Presidential Administration and 119th Congress**

- **Matthew Hoffman**, *Director of Regulatory and Government Affairs, Digital Asset*
- **Rob Lehman**, *Senior Public Policy Advisor, WilmerHale*
- **Mark Schuermann**, *Managing Director and Head of Public Affairs, HSBC*
- **Jonathan Yarowsky**, *Partner and Co-Chair, Public Policy and Government Affairs Practice, WilmerHale*

1:00 – 1:30 p.m.

**Networking**