

Sanctions Symposium

Thursday, September 19, 2024 | 12:30 – 5:15 p.m. ET | WilmerHale New York City Office

12:30 – 1:30 p.m.

WELCOME LUNCH

1:30 – 2:15 p.m.

Panel 1: Are Sanctions the New FCPA? Perspectives on Energized Enforcement

With the Department of Justice dedicating new and significant resources to enforcement and with departments and agencies collaborating in new ways, sanctions and export control enforcement promises to be an area that more companies will need to consider—and an area where criminal action is more likely. This panel will evaluate how this increased attention—particularly that coming from the Department of Justice (DOJ)—will affect enforcement. Panelists will consider lessons learned from enforcement actions by DOJ in the past several years. The panel will highlight enforcement trends and US government objectives in using this increasingly important tool.

- **Aaron Zebley**, *Partner*, WilmerHale (Moderator)
- **Sherine Ebadi**, *Managing Director of Investigations, Diligence and Compliance*, Kroll Associates
- **Yoon-Hi Greene**, *Partner*, WilmerHale
- **Meg Hamilton**, *Legal Counsel*, Panasonic
- **David Szuchman**, *SVP and Head of Global Financial Crime and Customer Protection*, PayPal

2:15 – 3:00 p.m.

Panel 2: The Convergence of Sanctions and Export Controls: Compliance Perspectives

US, EU, UK and other authorities are strategically using heightened complementary sanctions and export control measures to achieve foreign policy and national security goals. This panel will consider how increased alignment between these sanctions and export control regulatory regimes and coordination on enforcement present compliance complexity for companies, often in multiple jurisdictions. The panel will consider how this interplay between sanctions and export controls could affect compliance obligations for different types of parties—from financial institutions to those exporting products. The panel will also identify important differences in compliance expectations and priorities among sanctions and export control regulators, and discuss how companies can design durable, tailored compliance programs.

- **Neena Shenai**, *Partner*, WilmerHale (Moderator)
- **Heather Epstein**, *Global Head of Sanctions Remediation and Programme*, Deutsche Bank
- **Danielle Humphrey**, *VP, Compliance Officer and Chief Regulatory Counsel*, Becton Dickinson
- **Ronald Meltzer**, *Senior Counsel*, WilmerHale
- **Glenn Salvo**, *Senior Corporate Counsel*, The Mosaic Company

3:00 – 3:15 p.m.

COFFEE BREAK

3:15 – 4:00 p.m.

Panel 3: The Employment of Sanctions in Trade Relations with China

Trade and investment tensions are likely to continue to be a top-line business risk in and with China for companies with global footprints. This panel will focus on the compliance considerations for companies that operate in and/or sell to China but that may be owned/controlled by US, EU or other foreign-based companies. We will consider how official and de facto sanctions, export controls, and other types of economic restrictions have operated, how effective they have been, why they may increasingly be invoked by governments, and how companies are adapting and planning today. We will also consider how emerging issues of technology governance like artificial intelligence (AI), digital assets, and cybersecurity may play out in this environment.

- **Jeffrey Kessler**, *Partner*, WilmerHale (Moderator)
- **Craig Allen**, *President*, US-China Business Council
- **Daniel Rosen**, *Co-Founder*, Rhodium Group and member of the Council on Foreign Relations and the National Committee on US-China Relations
- **Lester Ross**, *Partner-in-Charge, Beijing Office*, WilmerHale

4:00 – 4:45 p.m.

Panel 4: The Use of Technology, Including AI, in Compliance

This panel will discuss current and prospective use cases for technology, including AI in sanctions compliance, specifically focused on how the tools should be deployed, best practices for governance (including review, testing, etc.) and how the regulators are reacting to such uses.

- **Michael Dawson**, *Partner*, WilmerHale (Moderator)
- **Barry Hurewitz**, *Partner*, WilmerHale
- **Peter Munoz**, *Sr. Director, Suspicious Activity Monitoring*, Capital One
- **Tom Scampion**, *CEO*, Global Screening Services
- **Sarah Ward**, *Chief Legal Officer*, Chainalysis

4:45 – 5:15 p.m.

Keynote Fireside Chat: Russia, Looking Backward and Forward

The day will conclude with a fireside chat with keynote speaker **Elizabeth Rosenberg**, Managing Director at Bank of America and former Assistant Secretary of the Treasury for Terrorist Financing and Financial Crimes, and **Zachary Goldman**, Partner in WilmerHale's Securities and Financial Services practice.

- **Zachary Goldman**, *Partner*, WilmerHale
- **Elizabeth Rosenberg**, *Managing Director*, Bank of America and former Assistant Secretary of the Treasury for Terrorist Financing and Financial Crimes